

Intellectual Property Supplemental Application

Underwritten by The Hanover Insurance Company

I. APPLICATION INSTRUCTIONS

Whenever used in this Application, the term "you", "your(s)", "firm" or "Applicant" shall mean the **Named Insured** and all subsidiaries or other organizations applying for coverage, unless otherwise stated.

II. GENERAL INFORMATION

1. Name of Applicant: _____

2. Provide a description of the firm's intellectual property work:

3. List the firm members who work in the Intellectual Property area (use a separate sheet if necessary):

Attorney Name	Member of Patent Bar (Y/N)	Years of Experience in IP	Percentage of time spent in IP most recent 12 months	Percentage of time spent in IP prior 12 months	Hours of CLE in this area from most recent 12 months
			%	%	
			%	%	
			%	%	
			%	%	
			%	%	
			%	%	

4. Please indicate percentage of time devoted to each area of practice below:

Patent Defense	%	Patent Opinions	%
Litigation	%	Domestic Trademark Registration /	%
Domestic Patent Prosecution	%	Foreign Trademark Registration / Licensing	%
Foreign Patent Prosecution	%	Copyright Registration / Licensing	%
Patent Searches and Filings	%	Other	%
Patent Infringement Consultation	%		

5. Please indicate percentage of time devoted to each client type below:

Artists	%	Industrial	%
Biotechnical	%	Mechanical	%
Chemical	%	Musicians/Composers	%
Computers	%	Pharmaceutical	%
Electric	%	Publishing	%
Entertainment	%	Other:	%

6. Does the firm use engagement letters which detail the nature, scope and limitation of a proposed patent search? Yes No N/A
7. Does the firm clearly state in its engagement letters its responsibility for payment of annuities, maintenance fees or taxes. Yes No
8. Does the firm maintain a calendar or docketing system to record, monitor and comply with filing deadlines and other time limitations in connection with copyright, trademark and patent matters? Yes No
9. Is the calendar or docketing system the firm uses automated? Yes No
10. Does the calendar or docketing system the firm uses employ more than one control? Yes No
11. Does the firm advise clients of all deadlines and time limitations? Yes No
12. Does the firm assume responsibility for the payment of maintenance fees for any of its IP clients? Yes No
13. Does the firm notify its client of filing deadlines when the client is responsible for maintenance fees? Yes No
14. Does the firm require clients to acknowledge in writing the specific country(ies) and territory(ies) the filings are to be made? Yes No
15. Does the firm engage the services of third parties to carry out patent searches? Yes No
If "Yes", please explain:

16. Indicate the percentage of the firm's IP clients that are:

Individuals	_____ %	Partnerships	_____ %
Corporations	_____ %	Universities	_____ %
University affiliated entities	_____ %		

17. During the past 12 months, how many of the following has the firm filed on behalf of its IP clients?

Lawsuits	_____
Patent applications	_____
Trademark applications	_____
Copyright applications	_____
Licensing or other transactional matters	_____

18. Does the firm or any member of the firm:

- a. Have a business relationship with any of the firm's intellectual property clients other than the rendering of legal services? Yes No
If "Yes", describe the services provided: _____
- b. Accept a percentage of the dollar value of a transaction in lieu of fees? Yes No
- c. Accept compensation in kind (e.g. royalties, shares of a client's corporation, copyrights, etc. in return for legal services? Yes No

19. Does the firm employ any patent agents? Yes No
If "Yes", how many? _____

20. List the top five Intellectual Property clients, services provided by the firm and percentage of the firm's billings generated by each.

<u>Client</u>	<u>Services Provided</u>	<u>Percentage of Firm's Annual Billings</u>
a.		%
b.		%
c.		%
d.		%
e.		%

III. MATERIAL CHANGE

If any of the Applicants discover or become aware of any significant change in the condition of the Applicant between the date of this Supplemental Application and the policy inception date, which would render the Supplemental Application inaccurate or incomplete, notice of such change will be reported in writing to us immediately and any outstanding quotation may be modified or withdrawn.

IV. DECLARATIONS, NOTICE AND SIGNATURES

The submission of this Supplemental Application does not obligate the **Insurer** to issue, or the Applicant to purchase, a policy. The Applicant will be advised if the Supplemental Application for coverage is accepted. The Applicant hereby authorizes the **Insurer** to make any inquiry in connection with this Supplemental Application.

The undersigned, declare that to the best of their knowledge and belief, after reasonable inquiry, the statements set forth in this Supplemental Application and in any attachments or other documents submitted with the Application are true and complete and were made to obtain requested information from each and every Applicant proposed for this insurance to facilitate the proper and accurate completion of this Application.

The undersigned agree that the information provided in this Supplemental Application and any material submitted herewith are the representations of all the Applicants and the basis for issuance of the insurance policy should a policy providing the requested coverage be issued, and that the **Insurer** will have relied on all such materials in issuing any such policy. The undersigned further agree that the Supplemental Application and any material submitted herewith shall be considered attached to and a part of the policy. Any material submitted with the Supplemental Application shall be maintained on file (either electronically or paper) with us.

The information requested in this Supplemental Application is for underwriting purposes only and does not constitute notice to the **Insurer** under any policy of a **Claim** or potential **Claim**.

NOTICE TO ALABAMA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

NOTICE TO ARIZONA AND MISSOURI APPLICANTS: Claim Expenses are Inside the Policy Limits. All claim expenses shall first be subtracted from the limit of liability, with the remainder, if any, being the amount available to pay for damages.

NOTICE TO ARKANSAS, LOUISIANA AND WEST VIRGINIA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for

insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO COLORADO APPLICANTS: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: Warning: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

NOTICE TO FLORIDA APPLICANTS: Any person who knowingly and with intent to injure, defraud or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

NOTICE TO HAWAII APPLICANTS: For your protection, Hawaii law requires you to be informed that presenting a fraudulent claim for payment of a loss or benefit is a crime punishable by fines or imprisonment, or both.

NOTICE TO IDAHO AND OKLAHOMA APPLICANTS: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

NOTICE TO KANSAS APPLICANTS: Any person who commits a fraudulent insurance act is guilty of a crime and may be subject to restitution, fines and confinement in prison. A fraudulent insurance act means an act committed by any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to, or by an insurer, purported insurer or insurance agent or broker, any written statement as part of, or in support of, an application for insurance, or the rating of an insurance policy, or a claim for payment or other benefit under an insurance policy, which such person knows to contain materially false information concerning any material fact thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto.

NOTICE TO KENTUCKY APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime.

NOTICE TO MAINE, TENNESSEE, VIRGINIA, AND WASHINGTON APPLICANTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

NOTICE TO MARYLAND APPLICANTS: Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NOTICE TO MICHIGAN APPLICANTS: Any person who knowingly and with intent to defraud an insurance company or another person files an application for insurance containing any materially false information, or conceals for the purpose of misleading information concerning any fact material thereto, commits a fraudulent act, which is a crime and subjects the person to criminal and civil penalties.

NOTICE TO NEW JERSEY APPLICANTS: Any person who knowingly includes any false or misleading information on an application for an insurance policy or files a statement of claim containing any false or misleading information is subject to criminal and civil penalties.

NOTICE TO NEW HAMPSHIRE APPLICANTS: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages.

NOTICE TO NEW MEXICO AND RHODE ISLAND APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

NOTICE TO OHIO APPLICANTS: Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

NOTICE TO OREGON APPLICANTS: Any person who knowingly and with intent to defraud or solicit another to defraud any insurance company: (1) by submitting an application, or (2) by filing a claim containing a false statement as to any

material fact, may be violating state law.

NOTICE TO PENNSYLVANIA APPLICANTS: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

NOTICE TO VERMONT APPLICANTS: Any person who knowingly presents a false statement in an application for insurance may be guilty of a criminal offense and subject to penalties under state law.

Note: This **Application** must be signed by a representative of the Applicant acting as the authorized representative of the person(s) and entity(ies) proposed for this insurance.

Date

Signature

Title

Produced By: Agent: _____ Agency: _____

Agency Taxpayer ID or SS No.: _____

Agent License No.: _____ Agent Signature: _____

Address (Street, City, State, Zip): _____